

NEO Connect Welcomes Stone Asset Management PTF



Toronto, September 10, 2019 – NEO is pleased to announce that Stone Asset Management Limited (“SAM”) will utilize the NEO Connect platform to distribute Series PTF™ of the Stone Dividend Growth Class fund. NEO Connect is accessible to all investors, asset managers and their dealers who want more efficient and lower cost transactions in assets not listed on a stock exchange. The Stone Dividend Growth Class PTF is now available under the symbol SAMDG.

“We are very excited to launch our first PTF on NEO’s innovative platform,” said Richard Stone, Founder and Chief Investment Officer of SAM. *“The Stone Dividend Growth Class fund is an investment solution catering to the growing generational need for income with total return, and is a core fund for appropriate investors’ risk tolerance levels. Our goal is to continue expanding investment options under the SAM investment management philosophy, which is rooted in integrity and always doing the right thing for investors. We look forward to a long and mutually beneficial partnership with NEO as we execute on our strategy to launch future Stone PTFs in the months to come.”*

NEO Connect allows fund manufacturers to offer product in the form of PTFs at a cost lower than Series F funds, while giving dealers and their advisors more efficient access.

“We are proud to welcome SAM to the NEO family as the eighth and newest asset manager to champion PTFs,” said Jos Schmitt, President and CEO, NEO. *“Like NEO, Stone is focused on delivering exceptional client service and doing what is right for investors. With our recent expansion into the mutual fund dealer community, PTFs continue to grow in popularity with advisors because they enable greater efficiency and cost savings.”*

NEO Connect currently facilitates distribution of nearly 70 PTFs from eight fund providers, which include both prospectus mutual funds and offering memorandum funds. [Click here](#) to view the full fund directory. NEO Connect has experienced rapid growth within the IIROC and now MFDA community since its launch in 2016, and has allowed fund providers to efficiently raise nearly \$1 billion in assets to date.

About NEO Connect

NEO Connect is a platform that streamlines the distribution of financial assets to investors by asset managers. Launched in May 2016, NEO Connect is accessible to investors, asset managers and dealers who want more efficient and lower cost distribution for assets not listed on a stock exchange. An initial test trade can be organized and executed within 24 hours. To arrange a test or learn more, contact the NEO investor helpline toll-free at 1-844-567-6424 or visit: <https://www.aequitasneo.com/en/connect/neo-connect>