

Notice to Distributor Head Office

CIBC MELLON

*Attention: Investment Fund Operations
Security Re-Org Departments*



Stone Asset Management Limited, the Investment Fund Manager and Portfolio Manager of the Stone Mutual Funds, announces the conversion of Back-Office Systems and Administration to CIBC Mellon Global Securities Services Company ("CIBC Mellon GSSC"). This is effective for funds that are registered under Fund Manager code "SCL".

What is happening?

- Please be advised, effective the close of business on Friday May 11th, 2018, commencing at 4:01 PM EST, Fund Management code "SCL" - Stone Asset Management Limited, will be converting from International Financial Data Services ("IFDS") to CIBC Mellon Global Securities Services Company ("CIBC Mellon GSSC").

What are the specific details?

- The following items **WILL NOT** change:
 - Fund Management Code
 - Fund Codes and Fund Names
 - Investor Account Details (example: distribution options, systematic plans)
 - Fund Account Numbers

Thank you for your assistance in the timely processing of these changes.
Should you have any questions or concerns, please contact:

Dealer Relations

1 York Street Suite 900 Toronto ON M5J 0B6
urkdealerrelations@cibcmellon.com www.cibcmellon.com

What about order placement?

- To accommodate the conversion, all SCL **Fundserv trade activity will be briefly suspended on Wednesday May 9th, 2018, 4:01 PM EST.**
- All trades submitted through Fundserv, during the trading suspension, will receive a REJECT message, and must either be submitted **DIRECT** or re-entered through Fundserv on **Monday, May 14th, 2018.**
- Full **Fundserv trading will be restored on Monday May 14th, 2018, 8:00 AM EST.**
- **DIRECT** trading will continue to be accepted during the Fundserv trading suspension. All financial transactions with cheques should be sent to IFDS (the current back-office provider). Switches, redemptions, and all other non-financial transactions may be faxed to IFDS for processing.
 - A cheque will be issued on T+1 for any redemptions
 - Direct purchase requests must be accompanied by a cheque
 - All trades must be settled by Friday May 11, 2018
- Fundserv NFU XML Messaging will be available until **Friday May 11th, 2018 4:01 PM EST**, and will be restored on **Monday May 14th, 2018, 8:00 AM EST**

Dealer Agreements

- Existing Dealer Agreements will not carry over. New Agreements have been sent to distributors by CIBC Mellon GSSC. Should you have any questions about the new Dealer Agreement, please contact Dealer Relations at 416 643 3175 or toll free at 1 866 992 4991.

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1 York Street Suite 900 Toronto ON M5J 0B6

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NEW* Processing Contact Information for Stone Asset Management Limited – Effective Monday May 14th, 2018

Delivery Address: Stone Asset Management Limited
c/o CIBC Mellon GSSC, Recordkeeping Services
1 York Street
Toronto ON M5J 0B6
Canada

- Client Service Team: 1 800 795 1142 / 416 867 8086 clientservices@stoneco.com
- Processing Fax Numbers: 1 855 884 0493 / 416 643 3616
- Dealer Relations: 1 866 992 4991 / 416 643 3175 urkdealerrelations@cibcmellon.com

None of Stone Asset Management Limited, IFDS (the current back-office provider) or CIBC Mellon GSSC can accept any responsibility or liability for:

- Distributors that begin to use the above contact information prior to Monday May 14th, 2018.
- Distributors that fail to use the above contact information on Monday May 14th, 2018 or thereafter.

Should you have any questions, please contact Stone Asset Management Limited at 1 800 795 1142 or clientservices@stoneco.com

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